

Business Continuity Summary Disclosure Statement

Connectivity In a Box, LLC (“CIB”) has developed a Business Continuity Plan (“BCP”) detailing how we plan to respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions are unpredictable, we will have to be flexible in responding to actual events as they occur.

CIB will make best efforts to notify clients of a switch over to the business continuity site as soon as possible in the event of an interruption. Such notice will likely be provided through email broadcasts and/or telephone calls. Our Business Continuity Plan – Our business continuity plan is designed to permit CIB to resume operations as quickly as possible, given the scope and severity of a business disruption.

Our business continuity plan addresses: data backup and recovery; mission critical systems; financial and operational assessments; alternative communications with clients, employees, and regulators; alternate physical location of employees; critical supplier, contractor, and assuring our clients prompt access to their message history if we are unable to continue our business.

Varying Disruptions – Significant business disruptions can vary in their scope. A disruption might only affect CIB, a building housing CIB, a business district in which CIB is located, a city in which CIB is located or an entire region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In cases where CIB is unable to operate, we will transfer our operations to a site outside of the affected area, and recover and resume business with a goal of 24 hours. We plan to continue in business and notify regarding how to contact us via telephone or email. If the significant business disruption is so severe that it prevents us from remaining in business, we will ensure that our clients’ have prompt access to their message history.

Contacting Us – If you have further questions regarding our business continuity plans please contact your sales representative or call CIB at 212.837.8363 x 300. While CIB has employed significant steps to develop, implement and maintain reasonable business continuity plans, CIB cannot guarantee our systems will absolutely recover after a significant business disruption. CIB will continually monitor and assess our plans and any material changes or updates will be available on our website or upon request.

Please note, while CIB will be able to operate from its D/R location, only those firms which have opted to connect to CIB’s D/R site will be able to continue to transmit and/or receive messages.